Technical Preservation Services



Historic Preservation Certification Applications Instructions for Electronic Submission July 14, 2023

Electronic File Format Standards and File Naming Conventions

Electronic File Format Standards

- Each application component (i.e., Part 1, Part 2, Part 3, Amendments, photos, drawings, etc.) must be saved as an individual PDF file and named according to the **NPS Naming Conventions**.
 - If using the second page of the Part 1 (Description/Statement of Significance) or Part 2 (Detailed Description of Rehabilitation Work) of the HPCA application, complete the application by providing all requested information.
 - Additional Narrative: If not providing this information on the actual HPCA application form itself, save the second page of the Part 1 (Description/Statement of Significance), Part 2 (Detailed Description of Rehabilitation Work), or additional Amendment narrative as a separate PDF.
- Photos: Photos should be contained in a separate PDF file with no more than two photos per page, and with a minimum photo size of 4" x 6" per photo. The property name, address, date of photos, and application part (i.e., Part 1, Part 2, Part 3, Amendment) must be listed at the top of each page. The photo number (labeled according to a Photo Key) and caption must be listed under each photo. The caption must include the view shown (e.g., north side) and a description of the view (e.g., plaster damage in dining room, north wall). The Photo Key must be a separate PDF file. See **Photo Page Example:** Documentation Requirements
- <u>Individual Photo Files:</u> NPS will accept individual photo files limited to no more than 20 JPEG or TIFF files per submission. <u>Only JPEG and TIFF file formats will be accepted</u>. Individual photo files must be saved within their own folder and named according to the **NPS Naming Conventions**.
- Architectural Plans and Drawings: Architectural plans and drawings <u>must</u> be flattened and submitted as
 PDFs in order to reduce file size and facilitate faster uploading/downloading. Plans of existing and
 proposed conditions should be submitted as separate files. In order to flatten the electronic files for
 architectural plans, select the "Print" function, then select "Adobe PDF" as the printer, and save the file.
- <u>Signatures:</u> Acceptable types of electronic signatures ("e-signatures") for the application components are

 (1) digital signatures using a digital ID (e.g., using Adobe software),
 (2) scanned or digitized versions of an original handwritten signature, and
 (3) scanned PDFs of original wet-signed HPCA applications.
 E-signatures that include a typed name in a different font and other types of generated signature images will not be accepted.

 See E-Signatures: Electronic Submission of Certification Applications.

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- Supplemental Information: Supplemental information (e.g., correspondence between the SHPO and applicant) should be saved as a separate PDF file named according to the **NPS Naming Conventions**.
- New Submission Requirement Transmittal Log: For each project, include a Transmittal Log (see Transmittal
 Log Example Electronic Submission of Certification Applications that lists all files that are being uploaded
 to the HPCA Submissions Site. The Transmittal Log may be submitted as a Word document. If any files
 listed in the log are missing, TPS administrative staff will reach out to the SHPO [or the applicant, if
 submitted by the applicant] within one business day.

Electronic File Naming Conventions

The electronic file names of application components and materials must follow the convention below.

The general naming convention is: NPSNumber_ApplicationPart_HistoricPropertyName [or PropertyAddress if no HistoricPropertyName]_City_State. There should be no spaces, punctuation, or special characters in the folder and file names, and underscores are used in place of spaces (NPS electronic file requirements limits the use of special characters for transfer, sorting, and other reasons). File names should be limited to 75 characters.

- **1. Project File Folder**, in which application components will be saved:
 - Initial submission, prior to NPS project number being assigned: HistoricPropertyName or PropertyAddress_City_State (use Historic Property Name; alternatively, if no Historic Property Name, use Property Address)
 - Ex.: XYZBottlingPlant_Denver_COEx.: 111KingSt_Washington_DC
 - Once NPS project number has been assigned:
 NPSNumber_HistoricPropertyName or PropertyAddress_City_State (Do NOT include "#"

sign as part of project number)

- o Ex.: 45921_XYZBottlingPlant_Denver_CO
- o Ex.: 45921 111KingSt Washington DC
- 2. Preliminary Application, in which application components will be saved:
 - Initial submission, prior to Part 1 and NPS project number being assigned:

Prelim_HistoricPropertyName or PropertyAddress_City_State (use Historic Property

Name; alternatively, if no Historic Property Name, use Property Address)

- Ex.: Prelim_XYZBottlingPlant_Denver_CO
- o Ex.: Prelim_111KingSt_Washington_DC
- **3. Application Components** and materials to be saved in the project file folder:
 - For New Applications (first submission prior to NPS project number being assigned):
 ApplicationPart_HistoricPropertyName or PropertyAddress_City_State (use Historic Property Name; alternatively, if no Historic Property Name, use Property Address)
 - Ex.: Part1_XYZBottlingPlant_Denver_CO
 - o Ex.: Part2 111KingSt Washington DC
 - Ex.: Amend1_111KingSt_Washington_DC
 - For Applications with NPS project number:

NPSNumber_ApplicationPart__HistoricPropertyName or PropertyAddress_City_State

- Ex.: 45921_Part2_XYZBottlingPlant_Denver_CO
- o Ex.: 45921 Amend1 XYZBottlingPlan Denver CO
- Ex.: 39461_Part3_111KingSt_Washington_DC
- For Amendments responding to NPS Holds:

NPSNumber_ApplicationPart_ResponseToHold

- o Ex.: 45921 Part2 ResponseToHold
- o Ex.: 45921_Amend1_ResponseToHold
- SHPO Review Sheet or SHPO Conditions Sheet (when needed) for initial submission prior to NPS project number being assigned:

ApplicationPart_StateReviewSheet or StateConditionSheet_HistoricPropertyName or PropertyAddress_City_State

- o Ex.: Part1 StateReviewSheet XYZBottlingPlant Denver CO
- o Ex.: Part2 StateConditionSheet 111KingSt Washington DC
- SHPO Review Sheet or SHPO Conditions Sheet (when needed) with NPS project number:

NPSNumber_ApplicationPart_StateReviewSheet or

StateConditionSheet_HistoricPropertyName or PropertyAddress_City_State

- o Ex.: 45921 Part2 StateReviewSheet XYZBottlingPlant Denver CO
- o Ex.: 39461 Part2 StateConditionSheet 111KingSt Washington DC
- o Ex.: 39461_Amend3_ConditionSheet_111KingSt_Washington_DC
- Additional Narrative: if providing Part 1 (Description/Statement of Significance), Part 2 (Detailed Description of Rehabilitation Work), or additional Amendment narrative as a separate PDF and not

on the actual HPCA application form itself. Prior to NPS project number being assigned:

ApplicationPart_AdditionalNarrative_HistoricPropertyName or PropertyAddress_City_State With NPS project number: NPSNumber_ApplicationPart_AdditionalNarrative_

HistoricPropertyName or PropertyAddress_City_State

- Ex.: Part1_AdditionalNarrative_111KingSt_Washington_DC
- o Ex.: 45921 Part2 AdditionalNarrative XYZBottlingPlant Denver CO
- Photos (PDF Document) prior to NPS project number being assigned:

ApplicationPart_Photos_HistoricPropertyName or PropertyAddress_City_State

- Ex.: Part1_Photos_XYZBottlingPlant_Denver_CO
- Ex.: Part2_Photos_111KingSt_Washington_DC
- Photos (PDF Document) with NPS project number:

NPSNumber_ApplicationPart_Photos_HistoricPropertyName or PropertyAddress_City_State

- Ex.: 45921_Part3_Photos_XYZBottlingPlant_Denver_CO
- Photo Key prior to NPS project number being assigned:

ApplicationPart PhotoKey HistoricPropertyName or PropertyAddress City State

- Ex.: Part1_PhotoKey_XYZBottlingPlant_Denver_CO
- Photo Key with NPS project number:

NPSNumber_ApplicationPart_PhotoKey_HistoricPropertyName or PropertyAddress_City_State

- Ex.: 45921 Part2 PhotoKey XYZBottlingPlant Denver CO
- Architectural Plans and Drawings prior to NPS project number being assigned:

Plans[or Drawings]_HistoricPropertyName or PropertyAddress_City_State

- Ex.: Plans_111KingSt_Washington_DC
- Architectural Plans and Drawings with NPS project number:

NPSNumber_Plans[or Drawings] HistoricPropertyName or PropertyAddress_City_State

- Ex.: 45921_Plans_XYZBottlingPlant_Denver_CO
- Phase Completion:

NPSNumber_PhaseCompletionNumber_HistoricPropertyName or PropertyAddress City State

- o Ex.: 45921_PhaseCompletion1_111KingSt_Washington_DC
- JPEGs/TIFFs only (Max. 20 Photos):
 - o Create a subfolder for the photos only, following instructions in Step 1, above
 - Label Photos 1-20 with brief description and historic property name or address (Photos must be numbered and keyed to both the description of proposed work in the application and plans of the building and site)
 - Ex.: 1 Frontelevation 111KingSt Washington DC
 - Ex.: 2_Westelevation_XYZBottlingPlant_Denver_CO

• Other Supporting Information (e.g., ownership statement or map for Part 1 or 2 application, etc.)

OwnershipLetter_HistoricPropertyName or PropertyAddress_City_State

- Ex.: OwnershipLetter_XYZBottlingPlant_Denver_CO (without NPS Number)
- o Ex.: 45291_OwnershipLetter_111KingSt_Washington_DC
- Transmittal Log prior to NPS project number being assigned:

TransmittalLog_HistoricPropertyName or PropertyAddress_City_State

- o Ex.: TransmittalLog_XYZBottlingPlant_Denver_CO
- Transmittal Log with NPS project number

$NPS Number_Transmittal Log_Historic Property Name\ or\ Property Address_City_State$

o Ex.: 45291_TransmittalLog_111KingSt_Washington_DC