



COMMERCIAL USE AUTHORIZATION APPLICATION



Denali National Park & Preserve
P.O. Box 9
Denali Park, AK 99755
Alex Maki, Concessions Management Specialist / CUA Coordinator
Phone Number: 907-683-9553

Refer to application instructions at the end of this application. Some parks have additional requirements for businesses that offer services to visitors relating to the safety and welfare of the visitors and protection of the resources. These requirements may include applicable operating licenses, certificates showing proof of training, operating plans, emergency response plans, group size limitations, etc.

1. Service for which you are applying and CUA length: One Year CUA Two Year CUA

<p>Land-Based Activities (check all that apply)</p> <p><input type="checkbox"/> Guided Day Hiking – Frontcountry</p> <p><input type="checkbox"/> Guided Day Hiking – Backcountry</p> <p>*Location(s) to be used: _____</p> <p><input type="checkbox"/> Guided Overnight Hiking</p> <p>*Location(s) to be used: _____</p> <p><input type="checkbox"/> Group Camping – Savage River Campground</p> <p><input type="checkbox"/> Guided Winter Activities</p> <p><input type="checkbox"/> Guided Day Hiking – Kantishna & Wonder Lake</p> <p><input type="checkbox"/> Produce Vendor</p> <p><input type="checkbox"/> Bike Rental – Mountain Vista Rest Area</p>	<p>Air Transportation (check all that apply)</p> <p><input type="checkbox"/> Air Taxi</p> <p><input type="checkbox"/> Incidental Hunt Transport</p> <p>*Location(s) to be used: _____</p> <hr/> <p>Mountaineering</p> <p><input type="checkbox"/> Guided Mountaineering</p> <p>*Location(s) to be used: _____</p>
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2. Will you be providing this service in more than one park? Yes No *If "Yes", list all parks and services provided.*

3. Applicant's Legal Business Name: *[Include any additional names (DBA) under which you will operate.]*

4. Owner and Authorized Agents: *(Give the name(s) of the owners and name(s) of the persons designated as Authorized Agents for your business. Authorized Agents have the power to sign on your behalf.)*

5. Mailing Addresses

PRIMARY CONTACT INFORMATION *(Dates to contact you at this address, if seasonal.)*

Address:

City, State, Zip:

Email:

Website:

Day Phone:

Evening Phone:

Fax:

ALTERNATE CONTACT INFORMATION *(Dates to contact you at this address, if seasonal.)*

If same as "Primary Contact Information, check here and go to question 6.

Address:

City, State, Zip:

Email:

Website:

Day Phone: _____ Evening Phone: _____ Fax: _____

6. What is your Business Type? *(Please check one below)*

Sole Proprietor

Partnership *(Print the names of each partner. If there are more than two partners, please attach a complete list of their names.)*

Name: _____

Name: _____

Limited Liability Company

Corporation

Non-Profit *(Please attach a copy of your IRS Ruling or Determination Letter)*

Other _____

7. Business License – State and Number: _____ **Expiration Date:** _____

8. Employer Identification Number (EIN): _____

9. Liability Insurance:

Provide proof of liability insurance. The CUA operator must maintain General Liability insurance naming the United States of America as additional insured. Minimum coverage amount is \$500,000 per occurrence. Some activities will require increased coverage or other types of liability insurance; see Park-Specific CUA Insurance Requirements (“Attachment A”).

10. Will your business operate vehicles/vessels/aircraft within NPS boundaries?

Yes No

Information for vehicles/vessels/aircraft chartered from and operated by another company is NOT required. If “Yes,” please give a description of each vehicle. Use additional paper, if necessary.

Make/Model of Vehicle	Year	Max # Passenger Capacity	Own/Rent/Lease

Make of Aircraft	Tail Number	Max # Passenger Capacity	Own/Rent/Lease

11. Additionally Required Documentation:

Parks typically require proof of applicable licenses, registrations and certificates of training, such as: valid driver’s or pilot’s license, CPR certification, etc. Provide copies of additionally required documentation identified in “Attachment B”.

12. DOI Employment:

Are you, your spouse, or minor children employed within the U.S. Department of the Interior?

Yes No If “Yes”, please provide information below:

Employee Name: _____ Title: _____

Bureau: _____

If you selected yes, please contact your servicing ethics office, <https://www.doi.gov/ethics/bem>.

13. Violations: To your knowledge, have you, your company, or any current or proposed employees been convicted or fined for violations of State, Federal, or local law within the last 5 years? Are you, your company, or any current or proposed employees now under investigation for any violations of State, Federal, or local law or regulation? See instructions.

Yes No If "Yes", please provide the following information. Attach additional pages, if necessary.

Date of violation or incident under investigation:

Name of business or person(s) charged:

Please identify the law or regulation violated or under investigation:

Please identify the State, municipality, or Federal agency that initiated the charges:

Additional Detail (optional):

(Results) Action Taken by Court:

14. Fee: Please include the Application Fee as outlined in Attachment B.

15. Signature:

False, fictitious or fraudulent statements of representations made in this application may be grounds for denial or revocation of the Commercial Use Authorization and may be punishable by fine or imprisonment (U.S. Code, Title 18, Section 1001). All information provided will be considered in reviewing this application. Authorized Agents must attach proof of authorization to sign below.

By my signature, I hereby attest that all my statements and answers on this form and any attachments are true, complete, and accurate.

Signature

Date

Printed Name

Title

NOTICES

Privacy Act Statement

Authority: The authority to collect information on the attached form is derived from 16 U.S.C. 5966, Commercial Use Authorizations.

Purpose: The purposes of the system are (1) to assist NPS employees in managing the National Park Service Commercial Services program allowing commercial uses within a unit of the National Park System to ensure that business activities are conducted in a manner that complies with Federal laws and regulations; (2) to monitor resources that are or may be affected by the authorized commercial uses within a unit of the National Park System; (3) to track applicants and holders of commercial use authorizations who are planning to conduct or are conducting business within units of the National Park System; and (4) to provide to the public the description and contact information for businesses that provide services in national parks.

Routine Uses: In addition to those disclosures generally permitted under 5 U.S.C.552a(b) of the Privacy Act, records or information contained in this system may be disclosed outside the National Park Service as a routine use pursuant to 5 U.S.C. 552a(b)(3) to other Federal, State, territorial, local, tribal, or foreign agencies and other authorized organizations and individuals based on an authorized routine use when the disclosure is compatible with the purpose for which the records were compiled as described under the system of records notice for this system.

Disclosure: Providing your information is voluntary, however, failure to provide the requested information may impede the processing of your commercial use authorization application.

Paperwork Reduction Act Statement

In accordance with the Paperwork Reduction Act (44 U.S.C. 3501), please note the following. This information collection is authorized by The Concession Management Improvement Act of 1998 (54 USC 101911). Your response is required to obtain or retain a benefit in the form of a Commercial Use Authorization. We will use the information you submit to evaluate your ability to offer the services requested and to notify the public what services you will offer. We may not conduct or sponsor and you are not required to respond to a collection of information unless it displays a currently valid Office of Management and Budget control number. OMB has assigned control number 1024-0268 to this collection.

Estimated Burden Statement

We estimate that it will take approximately 2.5 hours to prepare an application, including time to review instructions, gather and maintain data, and complete and review the proposal. Send comments regarding this burden estimate or any other aspect of this collection of information, including

suggestions for reducing this burden, to the Information Collection Officer, National Park Service, 12201 Sunrise Valley Drive, MS-242, Reston, VA 20192. Please do not send your completed form to this address; but rather to the address at the top of the form.

The following explanations correspond directly with the numbered items on the Application Form. Please read this entire document prior to completing the application. Include the nonrefundable application fee when submitting this application.
COMMERCIAL USE AUTHORIZATION APPLICATION INSTRUCTIONS

1. Enter the service you are proposing to provide and select the length of CUA. These are the services which are currently approved in the park: Air Taxi (includes Incidental Hunt Transport Service), Group Camping (Savage River Campground only), Guided Overnight Hiking, Guided Day Hiking Frontcountry, Guided Day Hiking Backcountry, Guided Day Hiking Kantishna & Wonder Lake, Guided Mountaineering, Guided Winter Activities, Bike Rental – Mountain Vista Rest Area, and Produce Vendor.

If the service you are proposing to provide is not a currently approved service listed above, contact the park CUA office at the number above.
2. Respond “No” or list other parks where you will be providing this service.
3. Enter the legal name of your business. If you have a secondary name under which you are doing business (d.b.a.), please enter that name also.
4. Give the name(s) of owners and name(s) of persons designated as Authorized Agents for your business. Authorized Agents have the power to sign on your behalf.
5. Provide contact information for both the main season and the off-season. Your contact information may also be published in the NPS Commercial Services Directory.
6. Check the box that identifies your type of business.
7. If the state in which you operate or the state where your business is domiciled requires a state business license, provide the state, license number and year of expiration.
8. Provide your Employer Identification Number (EIN). The Debt Collection Improvement Act of 1996 requires us to collect an EIN or Social Security Number (SSN). The NPS will not collect SSNs, only EINs. The EIN is issued by the Internal Revenue Service. You may receive a free EIN at <http://www.irs.gov/Businesses/Small-Businesses-&Self-Employed/How-to-Apply-for-an-EIN>. We will use the EIN that you provide as needed to collect debts.
9. Provide proof of General Liability Insurance naming the United States of America, as additional insured in the amounts designated in the application. Provide proof of vehicle/vessel/aircraft liability insurance if you own, rent, or lease vehicles/vessels/aircraft and transport visitors by those means or if those owned, rented, or leased vehicle/vessel/aircraft are engaged in providing the service (i.e., hauling horses used in the activity). Insurance companies must be rated at least A- by the most recent edition of A.M. Best’s Key Insurance Reports (Property-Casualty edition) or similar insurance rating companies (Moody’s, Standard and Poor’s, or Fitch). You may be subject to additional insurance requirements. Refer to “Attachment A”.
10. Provide a description of each owned, rented, or leased vehicle/aircraft you will utilize during the course of the proposed commercial service. Information for vehicles/aircraft chartered from and operated by another company is not required.
11. Provide copies of additional documentation as required by “Attachment B”.
12. Indicate if you are employed by the U.S. Department of the Interior (DOI). DOI ethics regulations prohibit employees, their spouses, and minor children from acquiring or retaining permits granted by the DOI in Federal lands subject to certain exceptions. DOI employees must contact their servicing ethics officer if they, their spouse, or minor children wish to apply for a CUA.
13. Provide details if your business or business owners or current employees or proposed employees have been convicted or are currently under charges for violation of State, Federal, or local law or regulation in the last 5 years. Do not include minor traffic tickets.
14. Include payment of the Application Fee - \$200 (for a One Year CUA) or \$300 (for a Two Year CUA) See “Attachment ” B.
15. Please sign and date your application. If the person SIGNING this application is an Authorized Agent for the business, proof of signing authority must accompany this application.

Attachment A: Insurance Requirements

Attachment B: List of Approved Services, Additionally Required Documentation, and Fee Information

Additional Information: The National Park Service has terms and conditions on all commercial service agreements. The following terms and conditions will apply to all Commercial Use Authorizations. There may be additional terms and conditions based on the services provided. These may include but are not limited to limits on locations, times, group size, and employee licenses and certifications and providing such information to the park superintendent for approval.

CONDITIONS OF THIS AUTHORIZATION

1. **False Information:** The holder is prohibited from knowingly giving false information. To do so will be considered a breach of conditions and be grounds for revocation: [RE: 36 CFR 2.32(a) (3)].
2. **Legal Compliance:** The holder shall exercise this privilege subject to the supervision of the area Superintendent. The holder shall comply with all applicable laws and regulations of the area and terms and conditions of the authorization. The holder must acquire all permits or licenses of State or local government, as applicable, necessary to provide the services described above, and, must operate in compliance with all applicable Federal, State, and local laws and regulations, including, without limitation, all applicable park area policies, procedures and regulations. All vehicles/vessels/aircraft are required to be registered and the operators are required to have the proper licenses to operate them commercially, as required by law or regulation.
3. **Rates:** The holder shall provide commercial services under this authorization to visitors at reasonable rates satisfactory to the area Superintendent.
4. **Operating Conditions:** The holder shall provide the authorized commercial services to visitors under operating conditions satisfactory to the area Superintendent.
5. **Liabilities and Claims:** This authorization is issued upon the express condition that the United States, its agents and employees shall be free from all liabilities and claims for damages and/or suits for or by reason of any injury, injuries, or death to any person or persons or property of any kind whatsoever, whether to the person or property of the holder, its agents or employees, or third parties, from any cause or causes whatsoever while in or upon said premises or any part thereof during the term of this authorization or occasioned by any occupancy or use of said premises or any activity carried on by the holder in connection herewith, and the holder hereby covenants and agrees to indemnify, defend, save and hold harmless the United States, its agents, and employees from all liabilities, charges, expenses and costs on account of or by reason of any such injuries, deaths, liabilities, claims, suits or losses however occurring or damages growing out of the same.
6. **Insurance:** Holder agrees to carry general liability insurance against claims occasioned by the action or omissions of the holder, its agents and employees in carrying out activities and operations under this authorization. The policy shall name the United States of America as additional insured. Holder agrees to have on file with the park copies of the above insurance with the proper endorsements.
7. **CUA Fees:** At a minimum, the holder shall reimburse the park for all costs incurred by the park as a result of accepting and processing the application and managing and monitoring the authorized activity. Administrative costs for the application process must be paid when the application is submitted. Monitoring fees and any additional costs incurred by the park to support the commercial activity will be paid annually or on a more frequent basis as determined by mutual agreement between the Holder and the area Superintendent.
8. **Benefit:** No member of, or delegate to, Congress, or Resident Commissioner shall be admitted to any share or part of this authorization or to any benefit that may arise from this authorization. This restriction shall not be construed to extend to this Contract if made with a corporation or company for its general benefit.
9. **Transfer:** This authorization may not be transferred or assigned without the written consent of the area Superintendent.
10. **Termination:** This authorization may be terminated upon breach of any of the conditions herein or at the discretion of the area Superintendent.
11. **Preference or Exclusivity:** The holder is not entitled to any preference to renewal of this authorization except to the extent otherwise expressly provided by law. This authorization is not exclusive and is not a concession contract.
12. **Construction:** The holder shall not construct any structures, fixtures or improvements in the park area. The holder shall not engage in any groundbreaking activities without the express, written approval of the area Superintendent.
13. **Reporting:** The holder is to provide the area Superintendent upon request a statement of its gross receipts from its activities under this authorization and any other specific information related to the holder's operations that the area Superintendent may request, including but not limited to, visitor use statistics, and resource impact assessments. The holder must submit annually the CUA Annual Report (NPS Form 10-660) and upon request the CUA Monthly Report (NPS Form 10-660A).

14. Accounting: The holder is to maintain an accounting system under which its accounts can be readily identified within its system of accounts classification. This accounting system must be capable of providing the information required by this authorization. The holder grants the United States of America access to its books and records at any time for the purpose of determining compliance with the terms and conditions of this authorization.

15. Minimum Wage: The holder is required to adhere to Executive Order 13658 – Establishing a Minimum Wage for Contractors, as applicable. The implementing regulations, including the applicable authorization clause, are incorporated by reference into this contract as if fully set forth in this contract and available at <https://federalregister.gov/a/2014-23533>.

Exemption: Under Executive Order 13838, Executive Order 13658 shall not apply to contracts or contract-like instruments entered into with the Federal Government in connection with seasonal recreational services or seasonal recreational equipment rental for the general public on Federal lands, but this exemption shall not apply to lodging and food services associated with seasonal recreational services. Seasonal recreational services include river running, hunting, fishing, horseback riding, camping, mountaineering activities, recreational ski services, and youth camps.

16. Visitor Acknowledgment of Risks (VAR): The holder is not permitted to require clients sign a waiver of liability statement or form, insurance disclaimer, and/or indemnification agreement waiving the client's right to hold the CUA holder responsible for accidents or injury occurring on NPS property. The holder is permitted to request or require a client to sign a form or statement acknowledging risk and/or indicating that certain prerequisite skills may be needed to participate in the commercial activity. The holder must provide the park with the current copy of all forms and/or statements used for this purpose and obtain written approval by the park to use the form and/or statement. A sample Acknowledgment of Risk form may be obtained by contacting the CUA office at 907-683-9553 or by going to the park CUA webpage at <https://www.nps.gov/dena/getinvolved/commercial-use-authorizations.htm>.

17. Intellectual Property of the National Park Service: Except with the written authorization of the Director of the National Park Service, the Holder shall not assert any legal claim that the Holder or any related entity holds a trademark, tradename, servicemark or other ownership interest in the words "National Park Service", the initials "NPS", or official name of any unit or part thereof, including but not limited to any facility, logo, distinctive natural, archaeological, cultural, or historic site, within the National Park System, or any colorable likeness thereof, or the likeness of a National Park Service official uniform, badge, logo, or insignia.

18. Nondiscrimination: The holder must comply with Applicable Laws relating to nondiscrimination in providing visitor services to the public and with all equal employment opportunity provisions of Title VII of the Civil Rights Act, as amended.

IMPORTANT: Before completing this application, please refer to the Application Instructions to verify that the service you are proposing is an approved commercial service. If the service you wish to provide is **not** listed as an approved commercial visitor service, contact us at the number above.

ALASKA REGION CONDITIONS

As used herein and in any CUA, the term "employee" includes all of the CUA holder's officers, employees and other representatives, including but not limited to interns or volunteers.

1. Engaging in a commercial visitor service or activity not authorized on a CUA permit (Form 10-115), signed by both the NPS deciding official and an authorized agent of the CUA, is prohibited.
2. Within the boundaries of the park unit(s) authorized on this permit, only the holder and their employees registered under this permit are authorized to provide the services specified on the permit. The holder may not subcontract or otherwise allow outside entities and/or non-registered employees to provide any services within the park unit, under the auspices of this permit.
3. The commercial visitor services authorized by an (out-of-park) CUA must originate and terminate outside of the boundaries of the park area ("outside of the boundaries of the park" to include an in-holding within the park). The CUA does not authorize the holder to advertise, solicit business, collect fees or sell any goods or services within the boundaries of the park area.
4. Unless authorized by park Superintendent, all personal property used in the operation of CUA activities must be removed from the park area after each trip. Leaving personal property within the park area between trips is prohibited.
5. Holder is responsible for ensuring that each employee complies with the terms and conditions of the CUA. The CUA holder shall ensure that each of its employees receives training in and reviews a copy of its CUA including all conditions and stipulations. The NPS reserves the right to request written certification that all employees have received training in and reviewed a copy of the CUA including all conditions, stipulations, and the park compendium.

6. Holder must provide a current employee list to the National Park Service annually prior to startup for seasonal operations, or at the beginning of the calendar year for year-round operations. The holder must inform the NPS of any additions to the employee list prior to the employee working in the park.
7. Holder and their employees must carry a current, signed, and approved CUA permit (Form 10-115) for the park unit in which they are operating. A reproduction or electronic copy on a mobile device is acceptable. The holder and their employees must present the CUA to any park employee on demand.
8. When operating in the backcountry, the holder must comply with the guidelines of the US Public Health Service for Food, Potable Water, Human Waste, Vector-Borne and Zoonotic Diseases, and Illness
Reporting: http://www.nps.gov/public_health/info/rms/rm83f.pdf
9. When conducting any multi-day guided backcountry or wilderness activity (such as but not limited to mountaineering, glacier travel, backpacking, or river travel) holder or their employees must carry some type of two-way electronic communication equipment, including a backup source of power, capable of communicating with NPS or other emergency responders. Acceptable communication equipment includes satellite phones, satellite messengers (i.e. Garmin inReach, Spot, or similar), VHF radios, or cell phones (only where service is known to be available). In addition, holder or their employees must carry a non-electronic method to supplement the electronic communication equipment such as mirrors or flares for signaling location. Each group participant/client must be instructed on the proper use of these devices.
10. Accidents involving personal injury, substantial aircraft or vessel damage, or threatening incidents involving wildlife where active hazing by spray, flare or firearm are used must be reported orally or in writing to the Superintendent of the respective park unit, as soon as possible. [36 CFR 2.33, 3.4, 4.4.] For the purposes of this paragraph, "substantial damage" means damage or failure, which adversely affects the structural strength, performance, or flight/operating characteristics of the aircraft/vessel.
11. Parks may contain private lands, it is the holder's responsibility to obtain prior approval from landowners for access to or across private lands within the park unit.
12. The holder shall inform clients of park regulations and safety concerns before beginning a trip (see park regulations at <https://www.nps.gov/locations/alaska/park-regulations.htm>).
13. Unmanned Aircraft are not allowed for use in units of the National Park System. Unmanned Aircraft is defined as a device that is used or intended to be used for flight in the air without the possibility of direct human intervention from within or on the device, and the associated operational elements and components that are required for the pilot or system operator in command to operate or control the device (such as cameras, sensors, communication links). This includes all types of devices that meet this definition (e.g., model airplanes, quadcopters, and drones) that are used for any purpose, including for recreation or commerce.
14. **Suspension, Restriction, Revocation, and Denial Policy:** Further to National CUA Condition #9, NPS Alaska Regional Policy Number 700-001 (<https://www.nps.gov/locations/alaska/upload/Revocation-Policy-for-2016-17-approved-docx.pdf>) states, this Authorization may be suspended, restricted, revoked or denied when a CUA holder/Owner or employee commits a violation. The policy defines a violation as:
 - Failure to comply with state or federal law, regulation or administrative action where a link exists between the offense and the business activity authorized regardless of whether the violation occurred in a unit of the National Park System;
 - Violation of 18 U.S.C. 1001, providing false information to any agency or department of the United States;
 - Failure to comply with a federal or state health or safety code or regulation when conducting activities authorized by the CUA;
 - Failure to comply with the provisions and conditions of the CUA.

This policy is set to expire on December 31, 2017 and will be updated within these conditions prior to expiration.
15. **Activity and Annual Reporting:** The holder is required to submit Activity Reports as outlined by park unit (<https://www.nps.gov/locations/alaska/activity-reporting.htm>) regardless of whether the CUA was used. If the CUA holder did not operate in a park unit, a report stating "did not operate" must be submitted. Each holder must also complete [Annual Report Form 10-660 \(Gross\)](#) and submit via email along with their Activity Report(s). Failure to submit required activity and annual reporting in a timely manner may impact the holder's ability to obtain a CUA in future years.

Activity Reports and Annual Report Form 10-660 for All Park Units (except Denali, Lake Clark, Katmai Group):

Submit Activity and Annual Reports to akr_activityreports@nps.gov on or before November 15th annually. Air taxi operators may report activity in all authorized park units on one form with the exception of the Katmai Group and Lake Clark.

Katmai Group (Katmai, Brooks, Alagnak, Aniakchak) and Lake Clark Operators: Submit Activity Reports as Excel Workbooks to katm_lacl_activityreports@nps.gov on or before November 15th annually along with the Annual Report Form 10-660.

Denali Operators: Submit Activity and Annual Reports to dena_cua@nps.gov on or before January 31st of the following reporting year.

PARK SPECIFIC STIPULATIONS APPLICABLE TO ALL

- 1. Incident Reporting:** All incidents involving serious injury, fatality, or vehicle property damage must be reported immediately by calling the Alaska Regional Communication Center at (907)683-2276.
- 2. First Aid:** At a minimum, each guide must be currently certified in basic first aid and CPR. Each group must carry and be familiar with a basic first aid kit, with a valid expiration date, appropriately sized for the group. Documentation must be presented upon request from NPS personnel.
- 3. Wildlife Safety Messaging:** Employees shall be trained in proper wildlife safety protocol for preventing incidents and acting in case of a wildlife encounter. Visitors must be made aware of this protocol prior to beginning activities. Bear spray must be carried for any activity where bears may be present. See the park website for more information on wildlife safety protocol: <https://www.nps.gov/dena/playourvisit/safety.htm>.
- 4. Bear and Wolf Encounters:**
 - a) Any bear interactions (wherein a bear's behavior is changed in anyway due to the presence of a human/s) that occur involving clients or guides must be reported using a Bear Human Incident Management System (BHIMS) form.
 - b) Any wolf interactions (wherein a wolf's behavior is changed in anyway due to the presence of a human/s) that occur involving clients or guides should be reported using a Wolf Encounter Form (WEF).
 - c) NPS wildlife staff should be immediately notified anytime a bear makes contact with a human, damages property, continually approaches an area occupied by humans, touches a tent, or shows signs of aggression or excitement toward a human. Contact NPS wildlife staff at dena_wildlife_mgmt@nps.gov, by calling Pat Owen at (907)683-9547, or by calling Wildlife Technicians at (907)683-9574.
- 5. Leave No Trace:** All employees who accompany clients in Denali National Park & Preserve must be trained in Leave No Trace (LNT) Awareness, demonstrate through actions, and teach LNT etiquette appropriate to their activity and environment. Operations must be conducted with regard to minimizing the impact on park resources and other visitors. LNT Awareness online course can be found at: <https://lnt.org/learn/online-awareness-course>. Denali-specific Leave No Trace information can be found on the park website: <https://www.nps.gov/dena/playourvisit/leavenotrace.htm>. The CUA holder must remove all trash and garbage generated by their activities within the park, including food waste.
- 6. Closures:** Public closures may be established in the interest of maintaining public health, protecting environmental and scenic values, and protecting natural and cultural resources. This may include but is not limited to wildlife activity such as dens/nest sites, kill sites, or potentially hazardous animals.
- 7. Interpretation:** Employees speaking with visitors about the park shall:
 - Convey accurate information about Denali National Park and Preserve and its mission.
 - Use effective techniques to provide opportunities for visitors to make meaningful and relevant intellectual and emotional connections to park resources.
 - Facilitate experiences using Denali's significance and/or its resources to generate thoughts, discussion or reflection on stewardship.Access to park information about Wildlife Safety, Leave No Trace, Natural and Cultural History, as well as tips, tools, and training for creating effective visitor experiences can be found at: <https://www.nps.gov/dena/getinvolved/resources-for-others.htm>.
- 8. Employee Performance:** All employees must maintain the highest professional standards of conduct, treating each guest/client with respect. Employees must provide quality customer service, show leadership, maintain group management, guide decision making, communicate hazards to visitors, provide relevant safety messaging, and make conservative risk management judgements and decisions during activities. Employees must also respect the freedoms and rights of other groups and individuals they encounter on federal lands.
- 9. Entrance Fees:** CUA holders are required to ensure that their clients have paid the park entrance fee. The park entrance fee may be paid in conjunction with the purchase of a bus ticket, or in person at the Denali Visitor Center or Talkeetna Ranger Station.

Contact the Fee and Revenue Business Manager at (907)683-6437 if you would prefer to collect and remit the park entrance fee directly to the park. Remitting fees directly to the park does not eliminate any in-person check-in requirements for your activity. During the winter operating season 2020-2021, the park entrance fee may be paid online at www.pay.gov. Go to www.pay.gov, search Denali Entrance Fee and select “Denali NPP Weekly Entrance Fee” and pay for the appropriate number of visitors over the age of 16, who do not hold a valid park pass. Examples of valid pass types are found at : <https://nps.gov/planyourvisit/passes.htm> and the Denali Annual Pass is also accepts. . Winter operating hours at the heated Indoor Picnic Area are from 9:30 AM - 5 PM, except on major holidays. It is appreciated if CUA holders stop in to log a visit in the guest book. Payment of park entrance fees may be required if the tour plans to enter the park after normal business house, such as in the evening to observe northern lights.

10. Group Size: Total group size is 12, inclusive of CUA holder and employees (guides).

11. Guiding: CUA holders and employees (guides) are not allowed to accompany clients in areas **closed to CUA activities** and if found doing so, will be assumed to be conducting guiding activities and considered to be in non-compliance of the terms of the CUA. Guides may accompany their clients on the park transportation system, but must conduct themselves as a park visitor and not as a guide. Any actions such as providing expertise and/or support to clients will be deemed a violation of this stipulation.

12. Reporting Requirements: CUA holders must meet the following annual reporting requirements in order to maintain a valid authorization. Reporting requirements include:

Document	Due
Guide List	May 1 st or before operation begins
Activity Reports	January 31 st
Annual Financial Report (Form 10-660)	January 31 st
Annual Fee	January 31 st
Insurance Certificate	With application and as insurance renews

13. Annual Fees: Required fees are calculated based on the following schedule. Annual fees are based on a percentage of gross receipts for: Land-Based Guiding, Air Transportation, and Auto Transportation. A fee calculator to help determine the final amount due is located at <https://www.nps.gov/dena/getinvolved/commercial-use-authorizations.htm>.

Gross Receipts	Annual Fee
Between \$0.00 and \$250,000.00	3% of gross receipts
Between \$250,000.01 and \$500,000.00	4% of gross receipts in addition to the prior amount
Above \$500,000.01	5% of gross receipts in addition to the prior two amounts

Mountaineering: A flat 6% Annual Fee will be applied to gross receipts on all income earned as a result of providing mountaineering services within Denali National Park and Preserve.

14. Areas Open to Conduct Commercial Services:

- 1980 Park and Preserve Additions
- Kantishna Airstrip
- Savage Campground Group Sites
- Designated Frontcountry Trails
- Park Road to Mountain Vista Rest Area for Guided Winter Activities – September 24th through when the park road closes for winter & mid-February through May 10th only.

15. Areas Closed to Commercial Services (except as noted in Stipulation #14 above):

- Former Mt. McKinley National Park
- Windy Creek drainage, northwest of Cantwell in the former Mt. McKinley National Park
- Glaciers in the 1980 Park and Preserve Additions are closed to air taxi landings, including lakes on glaciers

16. Commercial Road Access to Denali National Park and Preserve: Commercial passenger-carrying motor vehicles are allowed within the boundaries of Denali National Park and Preserve on the George Parks Highway and on the Denali Park Road as far west as the Denali Visitor Center complex (Mile Post 1.4) and Alaska Railroad Depot. **No permit is required for this access.** A commercial operator may therefore bring passengers into the park and stop within the developed area of the road corridor up to the Denali Visitor Center complex. This allows commercial operators to access the following: Wilderness Access Center, Backcountry Information Center, Riley Creek Mercantile store, showers and laundry, McKinley Park airstrip, U.S. Post Office, Day Use Picnic Area, Railroad Depot, and the Denali Visitor Center complex.

No commercial vehicle may proceed west beyond the Denali Visitor Center complex except under either of the following scenarios; they have a Group Camping CUA and their travel is related to a current campground reservation or they have a Guided Winter Activities CUA and they are traveling during the time period of September 24th through when the park road closes for winter and

mid-February through May 10 when the road officially opens to Mountain Vista Turnout. The winter closure date and February start date for road access will vary, and is weather and driving-condition dependent.

Activity-Specific Stipulations can be found on our website at: <https://www.nps.gov/dena/getinvolved/commercial-use-authorizations.htm>.

**ATTACHMENT A
CUA Insurance Requirements**

Commercial General Liability (CGL) Insurance

Liability insurance is required for all CUA holders under the terms of the authorization. Such insurance should be of sufficient scope to cover all potential risks and in an amount to cover claims that can reasonably be expected in the event of serious injury or death. The minimum commercial general liability insurance is \$500,000. Liability insurance policies must name the United States of America as additional insured. The business or person that is providing the service must be the named insured (policy holder). Companies that provide transportation only are not required to have Commercial General Liability as long as the passengers do not disembark.

Minimum Coverage Amounts for Liability Insurance (in thousands of dollars)			
Type of Activity	Per Occurrence Amount	General Aggregate Amount	Type of Insurance
Guided Day Hiking – Frontcountry Guided Day Hiking – Backcountry Guided Day Hiking – Kantishna & Wonder Lake Guided Overnight Hiking Group Camping Guided Winter Activities	\$500,000	\$1,000,000	Comprehensive General Liability
Guided Mountaineering	\$1,000,000	\$2,000,000	Comprehensive General Liability
Guided Day Hiking – Frontcountry Group Camping Guided Winter Activities	Up to 12 passengers - \$500,000/occurrence, 13-20 passengers - \$1,500,000/occurrence, 20+ passengers - \$3,000,000/occurrence		Commercial Auto Liability* *Required for all CUAs transporting passengers or using a vehicle (owned, non-owned, or hired vehicles) on park lands in performance of their authorized service(s).
Air Taxi & Incidental Hunt Transport	\$150,000 per passenger seat		Aircraft Liability
Employee Coverage (Workers Comp)	The State of Alaska requires anyone who employs one or more persons whether full or part time to carry workers' compensation insurance. Insurance certificate must indicate coverage.		

Other Required Insurance

Commercial Auto Liability Insurance is required if a CUA holder transports passengers or uses in the performance of the service in the park owned/leased/rented vehicles. If a CUA holder charters the vehicle and those chartered vehicles are owned and operated by another company, the CUA holder is not required to have Commercial Automobile Liability insurance. The minimum Commercial Auto Liability Insurance for *intrastate* passenger transport is \$500,000. The minimum Commercial Auto Liability Insurance for *interstate* passenger transport is:

Commercial Vehicle Insurance – Passenger Transport (bodily injury and property damage)	Minimum per Occurrence Liability Limits*
Up to 12 passengers	\$500,000
13 – 20 passengers	\$750,000
20+ passengers	\$1,500,000

Insurance Company Minimum Standards

The NPS has established the following minimum insurance **company** requirements. All insurance companies must meet the following minimum standards. These standards apply to foreign insurance companies as well as domestic companies.

1. All insurers for all coverages must be rated no lower than A- by the most recent edition of Best's Key Rating Guide (Property-Casualty edition), or similar insurance rating companies (Moody's, Standard and Poor's, or Fitch), unless otherwise authorized by the Service.
2. All insurers for all coverages must have Best's Financial Size Category of at least VII according to the most recent edition of Best's Key Rating Guide (Property-Casualty edition), or similar insurance rating companies (Moody's, Standard and Poor's, or Fitch), unless otherwise authorized by the Service
3. The insurance ratings must be submitted with the CUA Application. The rating companies do not issue certificates. We require the insurance broker to note this rating in the Certificate. If the rating does not appear on the certificate, the insurance broker must provide it in another document.

Proof of Insurance Submission

Applicants must submit proof of insurance with the CUA Application. The proof of insurance must:

- Be written in English with monetary amounts reflected in USD
- Reflect that insurance coverage is effective at time of CUA Application submission
- Name as insured the business or person that is providing the service
- Name the United States as additional insured
- Reflect a General Commercial Liability Policy with the minimum coverage amount required in the CUA Application
- Reflect required additional insurances (commercial vehicle, vessel, aircraft, etc.) with the minimum coverage amount required in the CUA Application
- Include insurance provider rating or provide in separate document

ATTACHMENT B

List of Approved Service & Required Licenses, Registrations and Training Certificates

AUTHORIZED COMMERCIAL SERVICE	REQUIRED DOCUMENTATION
Guided Day Hiking – Frontcountry Guided Day Hiking – Backcountry Guided Day Hiking – Kantishna & Wonder Lake Guided Overnight Hiking Group Camping Guided Winter Activities	Basic First Aid & CPR Leave No Trace Awareness
Air Taxi & Incidental Hunt Transport	Plane Registration Number(s) Title 14 Part 135 – FAA Operating Certificate Basic First Aid & CPR Leave No Trace Awareness
Guided Mountaineering	Please see 'Guide Training and Certification Requirements' on the Guided Mountaineering CUA Supplemental Application.

Fee Schedule and Payment Information

A \$200 or \$300 non-refundable portion of the Annual Fee is due with each application. Businesses may apply for multiple activities within a service category for one \$200/\$300 Annual Fee. Businesses applying for multiple service categories must pay the non-refundable portion of the Annual Fee for each CUA service category (e.g., an operator applying for a Land-Based Activities CUA and an Air Transportation CUA will be required to pay a \$400 or \$600 non-refundable fee at the time of application). The non-refundable fee will be deducted from the final Annual Fee payment. For a two year CUA if a portion of the non-refundable fee is remaining after the first operating year the balance will be carried over to the second operating year.

A tiered Annual Fee of 3%, 4%, or 5% will be applied to gross receipts on income earned as a result of providing Land-Based Activities or Air Transportation within Denali National Park and Preserve.

Annual fees based on percentage of gross receipts for: Land-Based Activities and Air Transportation (\$200 or \$300 annual fee acts as credit towards the following)

Gross Receipts	Annual Fee
Between \$0.00 and \$250,000.00	3% of gross receipts
Between \$250,000.00 and \$500,000.00	4% of gross receipts in addition to the prior amount
Above \$500,000.01	5% of gross receipts in addition to the prior two amounts

CUA Annual Fees for Mountaineering: A flat 6% Annual Fee will be applied to gross receipts on all income earned as a result of providing mountaineering services within Denali National Park and Preserve. A \$200 or \$300 non-refundable portion of the Annual Fee is due with each application.

Annual fee based on percentage of gross receipts for Mountaineering (\$200 or \$300 annual fee acts as credit): All income—6% of gross receipts.