



## COST-SHARE GRANT GUIDELINES AND APPLICATION – 2009 ROUTE 66 CORRIDOR PRESERVATION PROGRAM

### APPLICATION DEADLINE: May 1, 2009

#### Introduction

The National Park Service, Route 66 Corridor Preservation Program is dedicated to preserving the rich and diverse history of U.S. Highway 66. The program provides financial assistance in the form of competitive cost-share grants for the preservation of the most significant and representative buildings, structures, road segments, and cultural landscapes along the length of the Route 66 corridor, including Illinois, Missouri, Kansas, Oklahoma, Texas, New Mexico, Arizona, and California. It also offers cost-share grants for planning, research, and educational initiatives related to the preservation of U.S. Highway 66 (Route 66).

The eligibility and evaluation criteria set forth in the cost-share grant application guidelines are for fiscal year 2009 only, and are subject to revision in subsequent years based on the continuing development of long-term strategies and guidelines.

The legislation enabling this program is provided through the Route 66 Corridor Preservation Act of 1999 (Public Law 106-45), which is administered by the National Park Service, National Trails System – Santa Fe. For more information about the program and its services, visit [www.cr.nps.gov/rt66](http://www.cr.nps.gov/rt66).

#### Funding Availability

The cost-share grant funds of the Route 66 Corridor Preservation Program are congressionally appropriated and vary each year. The estimated total of funds available for cost-share grants in 2009 is approximately \$70,000. Applicants may apply for funds in amounts between \$5,000 and \$30,000.

#### Cost-Share Requirements

Applicants are responsible for providing a minimum 50% (1:1) share of the total project cost, in the form of non-federal cash, and/or in-kind contributions (e.g. donated services, materials, and equipment.)

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Property Maintenance and Protection Agreement	
Laws and Standards Agreement	
Application Checklist	

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## Eligible Applicants

- Individuals/private property owners
  - Nonprofit organizations
  - Educational institutions
  - Local government agencies
  - State government agencies
  - Tribal government agencies
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## Eligible Projects

### 1) *Preservation, Restoration, and Rehabilitation Projects*

Preservation, restoration, and rehabilitation of transportation-related historic properties on Route 66 are eligible project activities. Properties must have been in service and have had a strong and direct association to Route 66 during its 1926-1970 period of national significance; be on, or within view of, a Route 66 road alignment; be in their original, historic location; and retain historic and architectural integrity. Properties that are listed on the National Register of Historic Places, or that have been determined eligible for the register, will receive priority consideration.

Examples of **eligible transportation-related property types** include:

- gas stations and automotive repair shops
- restaurants and cafes
- motels, hotels, and campgrounds
- curio shops, trading posts, tourism-related attractions
- original road pavement, and associated road features (e.g. bridges, culverts)

Other **potentially eligible property types**, though of lesser priority will be:

- transportation-related properties that date to the 1926-1970 period of significance, but are not listed on the National Register of Historic Places
- properties that are not directly transportation related, but were often used by travelers, such as theatres, public buildings and landscape features. These properties must date to the 1926-1970 period of significance and have a well documented and significant association with Route 66

**Eligible activities** include but are not limited to repair, rehabilitation, or restoration of:

- roofs
- floors
- foundations
- structural elements
- plumbing, electrical, HVAC
- doors and windows
- light fixtures
- historic neon, signs (in historic location)
- bridges
- historic pavement

Project plans and drawings, prepared by a registered architect or other appropriate professional, **must** be included in all preservation, rehabilitation and restoration project proposals, or, the development of such plans must be part of the project proposal. All work must conform to the Secretary of Interior's *Standards for the Treatment of Historic Properties*; Section 106 of the National Historic Preservation Act; Occupational Health and Safety Administration Standards (OSHA); and all local/state building codes. Property owners must also commit to providing care and protection for the properties to

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**Eligible  
Projects**  
(continued)

the best of their ability for no less than 10 years after the project is completed (see *Property Maintenance and Protection Agreement* for more information.)

**Ineligible activities** include but are not limited to:

- acquisition of property
- new construction (e.g., building additions, entrance gates)
- reconstruction of non-extant buildings or rooms
- demolition of historic buildings or historic building additions
- standard maintenance of roadbed or parking lots, including new asphalt overlays, or widening (sensitive repair, rehabilitation, or restoration to protect and stabilize historic pavement features *is* eligible)
- fabrication/installation of new signs
- replica gas pumps
- furnishings (carpet, beds, chairs, desks, etc.)
- landscaping
- general property maintenance (e.g., painting, mowing, site cleanup)
- operating costs (e.g., insurance, utility bills)
- projects or project phases that have already been completed

## ***2) Planning, Research, Interpretive, or Educational Outreach Projects***

Planning, research, interpretive or educational outreach projects are eligible project activities. Examples of eligible activities include:

- *Project Planning and Architectural Drawings* for preservation, rehabilitation or restoration of eligible historic properties. Plans and drawings must be prepared by a registered architect or other appropriate professional, and comply with the Secretary of Interior's *Standards for the Treatment of Historic Properties*.
- *Historic Structure Reports* for properties listed on or determined eligible for the National Register of Historic Places. Other properties relating to the 1926-1970 period of historical significance may also be considered. Reports must be prepared by a historical architect and follow guidelines established in *Preservation Brief 43, Preparation and Use of Historic Structure Reports* ([www.nps.gov/history/hps/tps/briefs/brief43](http://www.nps.gov/history/hps/tps/briefs/brief43)).
- *Building, Road and/or Archeological Surveys* by local, state and tribal governments that identify and describe historic properties within an area or region, and assess the significance, cultural value, condition and/or threats to the properties.
- *Road Alignment or Pavement Preservation Plans* by local, state, and tribal governments.
- *Local Corridor Preservation Management Plans* by local, state, and tribal governments.
- *Planning and Development of Research, Oral Histories, Interpretive or Educational Activities* that directly advance the understanding and preservation of Route 66. Production of tourism brochures and/or materials is NOT an eligible activity.  
**Note: Due to limited availability of grant funds, research, oral history, interpretive and educational projects will be evaluated as a lower priority in 2009.**

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## Evaluation Factors

All proposals will be assessed on the basis of the following:

- Relevance to automobile travel on Route 66 relating to the route's period of significance from 1926-1970.
- Potential public use or benefit of the project results.
- Technical and professional qualifications of the project team.
- Approach, thoroughness and resources of the project work plan, budget and schedule.
- Applicant's demonstrated ability to complete the project in a timely, professional manner, ensuring laws and standards are met.
- Demonstrated ability to complete the project by December 31, 2010.

**Preservation, rehabilitation and restoration projects** will also be assessed on:

- Thoroughness of project planning documents, or plans for their preparation by a qualified historical architect or other appropriate professional.
- Listing on a state historic register; the National Register of Historic Places; or a determination of eligibility for listing by a State Historic Preservation Office.
- Existing historic integrity of the property. Does it retain its historic appearance, design, features, and materials?
- Needs of the historic property, including demonstrable degrees of physical deterioration to the property, and/or threats to its stability or integrity.
- Length of time that the property was associated with an actively commissioned alignment of Route 66, and its proximity to the alignment.
- Economic viability of intended long-term use of the property, and potential of the property to benefit the local economy.
- Potential for the project to serve as a preservation model.
- Applicant's commitment to the long-term preservation, protection and management of the property, including provisions that, to the best of the owner's ability, the property will be preserved and protected for no less than 10 years.
- Applicant's demonstrated understanding and intent to comply with required laws and standards relating to the Secretary of the Interior's *Standards for Treatment of Historic Properties*; Section 106 of the National Historic Preservation Act; and Occupational Health and Safety.
- Consideration will also be given to the location of the project area, as well as to property type, to ensure that the diversity of resources along the national route is equitably represented.

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## Application Process

Please submit five (5) full paper copies of the proposal to the program office with a postmark on or before May 1, 2009. At least one copy must have original signature(s). Please:

- 3-hole punch each copy at the left-hand margin.
- Do not bind the copies; use paper/binder clips instead.
- Do not use tabbed dividers, or report covers.

A copy of the proposal in electronic format on CD is also recommended, but not required. The electronic copy is **not** a substitute for the required five full sets of paper copies.

Applications must include the following (see Application Materials):

- Cover Sheet
- Project Proposal
- Attachments:
  - Letters of Recommendation
  - Project Graphics (if applicable)
  - State/National Register of Historic Places Nomination Forms (if applicable)
  - Signed Property Maintenance and Protection Agreement (if applicable)
  - Signed Laws and Standards Agreement (if applicable)
- Application Checklist

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## Review Process

Route 66 Corridor Preservation Program staff will review eligible applications, and determine cost-share grant fund awards. The program bases its decisions on the eligibility and evaluation criteria defined in these guidelines, and on recommendations from a panel of peer reviewers. Incomplete applications will not be reviewed or considered for funding. All applicants will receive notification of results on or before June 12, 2009.

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## Contacts

Please submit applications to:

Route 66 Corridor Preservation Program  
National Trails System – Santa Fe  
National Park Service  
P.O. Box 728  
Santa Fe, NM 87504

Physical Address:  
1100 Old Santa Fe Trail  
Santa Fe, NM 87505

Please direct inquiries to:

Tel: 505-988-6701  
Email: [im\\_rt66@nps.gov](mailto:im_rt66@nps.gov)

Additional copies of the *Cost-Share Grant Guidelines and Application – 2009* are available from the program office, or the program website at: [www.nps.gov/history/rt66/grnts](http://www.nps.gov/history/rt66/grnts).

## Appendix I - Laws and Standards

### THE FOLLOWING WILL APPLY TO APPLICANTS WHO ARE AWARDED COST-SHARE GRANT FUNDS FOR PRESERVATION, REHABILITATION AND RESTORATION PROJECTS ONLY:

Recipients of cost-share grant funds for preservation, rehabilitation, and restoration projects are subject to the following laws and standards:

#### A) Secretary of the Interior's *Standards for the Treatment of Historic Properties*

The Secretary of Interior's *Standards for the Treatment of Historic Properties* are federal standards intended to promote the highest degree of professionalism in protecting and maintaining the historic integrity of historic properties. Historic integrity refers to the authenticity of a property's historic identity, or the extent to which a resource retains its historic appearance. The Standards have accompanying Guidelines, which provide guidance in determining what type of treatment is appropriate, and what course of action should be taken for each type. The Standards and Guidelines are designed to guide the treatment of properties that are listed on, or eligible for listing on, the National Register of Historic Places, but they are also valuable tools for anyone planning and undertaking work on historic properties. The Standards are mandatory when projects involve federal funding.

All proposals must reflect an understanding of and adherence to, the Standards. For more information about the Standards and their accompanying guidelines, visit the website [www2.cr.nps.gov/tps/secstan1.htm](http://www2.cr.nps.gov/tps/secstan1.htm), or call your State Historic Preservation Office.

#### B) Section 106 of the National Historic Preservation Act

Section 106 of the National Historic Preservation Act requires Federal agencies to consult with State Historic Preservation Offices to consider the effects of federally-assisted projects on historic properties. The goal of consultation is to seek ways during the early stages of project planning to avoid, minimize, or mitigate any adverse effects on historic properties.

The Route 66 Corridor Preservation Program initiates Section 106 review for most preservation, restoration or rehabilitation projects. Section 106 review typically takes 4-6 weeks to complete. It is important that applicants are aware of this time requirement, and account for it in proposed project schedules.

*Work on cost-share grant projects cannot begin until a Section 106 review is completed.*

For more information about Section 106, visit [www.achp.gov/work106.html](http://www.achp.gov/work106.html), or contact your State Historic Preservation Office.

#### C) Occupational Health and Safety Administration Standards

The Occupational Health and Safety Administration (OSHA) sets standards to assure the safety and health of workers by providing training, outreach, and education; establishing partnerships; and encouraging continual improvement in workplace safety and health. All cost-share grant projects that include construction-related work are required to comply with OSHA safety standards and guidelines. Information about keeping your project site safe can be found at [www.osha.gov](http://www.osha.gov), or by calling 1-800-321-OSHA. You can contact your state OSHA office at [www.osha.gov/html/RAmap](http://www.osha.gov/html/RAmap).

# Application Cover Sheet

**Project Title:** \_\_\_\_\_

**Applicant Information:**

Organization/Agency \_\_\_\_\_

Contact Name/Title \_\_\_\_\_

Address \_\_\_\_\_

Phone \_\_\_\_\_

Email \_\_\_\_\_

**Applicant Type:**

City \_\_\_\_\_ State \_\_\_\_\_ Nonprofit Organization \_\_\_\_\_ Private \_\_\_\_\_

County \_\_\_\_\_ Tribe \_\_\_\_\_ Educational Institution \_\_\_\_\_ Other (specify) \_\_\_\_\_

**Project Location (for preservation, rehabilitation and restoration projects only):**

Property Address \_\_\_\_\_

County in which property resides \_\_\_\_\_

**Project Area: Congressional Representation:**

U.S. Representative(s) \_\_\_\_\_

U.S. Senators \_\_\_\_\_

**Project Cost (in dollar amounts):**

NPS Grant Request	Applicant Cost-Share	Total (grant + cost-share)

**Applicant signature certifies that the information contained within this application is true and correct** (original signature required on a minimum of one copy):

\_\_\_\_\_  
**Applicant Name and Title** (print or type)

\_\_\_\_\_  
**Applicant** (signature)

**Submit to:** Route 66 Corridor Preservation Program  
National Park Service  
P.O. Box 728  
Santa Fe, NM 87504

Physical address:  
1100 Old Santa Fe Trail  
Santa Fe, NM 87505

# Project Proposal - Instructions

## 1) Statement of Project Need, Purpose, Goals, and Potential Public Benefit

State the demonstrated need and purpose of the proposed project; the benefit or contribution the project will make to the overall goal of preserving or commemorating the history of Route 66; and how the project will achieve this. What is the intended end-use of the property or project results? Will the completed project be accessible to the public? Will it benefit the local economy? If so, state how. Include business plans, if applicable and available.

Response should not to exceed 2 typed 8-1/2 x 11 pages (12 point font)

## 2) History and Description of Historic Property (If applicable - other projects skip to Question 4)

Please describe the property's history including construction, ownership, and use, and provide dates whenever possible. Describe how the property has changed over time. Provide description of current ownership, use and condition of property.

Response should not exceed 2 typed 8-1/2 x 11 pages (12 point font)

## 3) Significance of Historic Property (If applicable - other projects skip to Question 4)

Is the property listed on a state historic register, the National Register of Historic Places, or determined eligible for listing on a historic register by a State Historic Preservation Office? If so, please provide a copy of the register nomination form, or a written determination of eligibility from the State Historic Preservation Office. If not, please describe the length of association and significance of the property to the historic period of Route 66 (1926-1970).

Response should not exceed 1 typed 8-1/2 x 11 page, excluding nomination forms (12 point font)

## 4) Project Description and Project Budget

This topic has two parts:

**Part One:** Provide a clear, concise description of the specific work to be accomplished. If specific plans (design or construction documents, etc.) have not yet been developed, explain your plan for having them developed.

Page allotment for response will depend on the scope and complexity of the project.

#### 4) Project Description and Project Budget (cont.)

**Part Two:** Develop a Task Timeline and Budget table, using the headings below as a guide. List and describe each individual task to be performed including the timeframe for implementation; cost; and funding source for each task. The task descriptions should be sufficiently detailed to give a clear understanding of the general flow of work necessary to complete the project. At the end of the table, please tally total NPS, cash, and in-kind contributions, as well as total project cost.

**NOTE –** Timeline should include 45 days for Section 106 review for preservation, restoration, and rehabilitation projects. **All projects must be completed on or before December 31, 2010.**

Please use the following headings as a guide for developing the table (additional headings may be included as needed):

Task Description	Task Timeline (must be completed by Dec. 31, 2010)	Task Budget	NPS Contribution (\$ amount)	Applicant Cash Contribution (\$ amount)	Applicant In-Kind Contribution (Service/Item Description)	Source of Cash or In-Kind Contribution
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#### 5) Project Team

Please provide information on each member of the Project Team, including contractors and subcontractors. Describe the role and qualifications of each member, including a brief profile or resume, if applicable.

The page allotment for response will depend on the number of project team members.

#### 6) Funding Considerations

Applicants must clearly explain how the required minimum 50% (1:1) match will be provided. Non-federal cash and/or in-kind contributions (e.g. donated services, materials, and equipment) may be used to meet this requirement. Please list all sponsors, donors, etc., here or in Section #4, Task Budget.

Response should not exceed 1 typed 8-1/2 x 11 page (12 point font)

#### 7) Project Completion and Ongoing Project Maintenance

What financial and other plans exist for the maintenance and continued support of the project results? If the proposed project is part of a multi-phase or long-term project, what are the plans for financing and completing the project beyond the proposed project phase?

Response should not exceed 1 typed 8-1/2 x 11 page (12 point font)

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## **PART II - Attachments**

- 1) Letters of Recommendation (for example, community members/leaders; Chambers of Commerce; Scenic Byway programs; Route 66 associations; historical societies; nonprofit organizations; State Historic Preservation Office; and/or state/federal legislators).
- 2) Project Graphics (if applicable):
  - Labeled photographs (digital printouts and/or color Xerox are allowable):
    - historic images of property, if available.
    - current images of overall property.
    - current images of property showing details of existing conditions.
  - Map showing property location and physical address.
  - Drawings of existing conditions and work proposed, prepared by a registered architect or other appropriate professional. If drawings have not yet been created, their production may be included as part of the project proposal.
- 3) State or National Register of Historic Places nomination forms, or statement of eligibility from State Historic Preservation Office (if applicable).
- 4) Property Protection and Maintenance Agreement – see attached (for preservation, restoration, and rehabilitation projects only).
- 5) Laws and Standards Agreement – see attached (for preservation, restoration, and rehabilitation projects only).

# Property Maintenance and Protection Agreement

(for preservation, rehabilitation, and restoration projects only)

I/we, \_\_\_\_\_, owner of the property known as \_\_\_\_\_, agree that if funds from the National Park Service Route 66 Corridor Preservation Program are used towards the preservation, restoration, or rehabilitation of the property, I/we will ensure that the property is maintained and kept in a state of good repair for no less than 10 years after the project work is completed. This will be done in order to preserve the historical and architectural integrity of the property in its improved condition, and to protect the investment of public federal funds.

If I/we are personally unable to maintain the property, I/we will ensure that another entity assumes these responsibilities.

If I/we must sell the property, I/we will encourage the new owner to continue the care and protection of the property.

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Name of Owner

Date

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Property Name

# Laws and Standards Agreement

(for preservation, rehabilitation, and restoration projects only)

I/we, \_\_\_\_\_, if awarded a cost-share grant from the National Park Service Route 66 Corridor Preservation Program for the project known as \_\_\_\_\_, agree to comply with laws and standards set forth in the Secretary of the Interior's *Standards for Treatment of Historic Properties*; Section 106 of the National Historic Preservation Act, as amended; Occupational Health and Safety Administration Regulations; and all appropriate local and state building codes.

If awarded a cost-share grant, I will ensure that the project is implemented in compliance with these laws and standards, and provide documentation and reporting of this compliance as specified in the final award contract.

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Name of Owner

Date

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Project Name

# Application Checklist

Applications must include the items listed below, as specified in the Cost-Share Grant Guidelines and Application materials. Please use the boxes to show that the application is complete, and include this sheet with your application.

**Project Title:** \_\_\_\_\_

Five (5) copies each of:



**1. Application Cover Sheet**



**2. Project Proposal**



**4. Attachments**

- Letters of Recommendation
- Graphics (if applicable)
- State/National Register of Historic Places Nomination Forms (if applicable)
- Signed Property Maintenance and Protection Agreement (preservation, restoration, and rehabilitation projects only)
- Signed Laws and Standards Agreement (preservation, restoration, and rehabilitation projects only)